

**MATH 512, FALL 14 COMBINATORIAL SET THEORY  
WEEK 8**

$j(\mathbb{M})/G := \{p \in j(\mathbb{M}) \mid \pi(p) \in G\}$ , where  $\pi : j(\mathbb{M}) \rightarrow \mathbb{M}$  is the projection.

**Definition 1.** Let  $\mathbb{Q}^* := \{q \in j(\mathbb{Q}) \mid q \upharpoonright \kappa = \emptyset\}$ . I.e. conditions are  $q$  with  $\text{dom}(q) \subset j(\kappa) \setminus \kappa, |\text{dom}(q)| < j(\kappa)$ , and for all  $\alpha \in \text{dom}(q)$ ,  $1_{\text{Add}(\omega, \alpha)} \Vdash q(\alpha) \in \text{Add}(\omega_1, 1)$ . We have that  $r \leq_{\mathbb{Q}^*} q$  iff

- (1)  $\text{dom}(r) \supset \text{dom}(q)$ ;
- (2) for all  $\alpha \in \text{dom}(q)$ ,  $1_{\text{Add}(\omega, \alpha)} \Vdash r(\alpha) \leq_{\text{Add}(\omega_1, 1)} q(\alpha)$ .

**Lemma 2.** In  $V[G]$ ,  $j(\mathbb{M})/G$  is a projection of  $\mathbb{P}^* \times \mathbb{Q}^*$ .

*Proof.* Suppose that  $H \times K$  is a  $\mathbb{P}^* \times \mathbb{Q}^*$ -generic over  $V[G]$ . We have to show that in  $V[G][H][K]$  there is a generic object for  $j(\mathbb{M})/G$  over  $V[G]$ .

In  $V[G]$  define  $E = \{(p', q') \in j(\mathbb{M})/G \mid (\exists (p, q) \in j(\mathbb{M})/G)(p, q) \leq (p', q'), p \upharpoonright j(\kappa) \setminus \kappa \in H, q \upharpoonright j(\kappa) \setminus \kappa \in H\}$ . We claim that  $E$  is  $j(\mathbb{M})/G$ -generic over  $V[G]$ . It is straightforward to check that this is a filter. For genericity, suppose that  $D$  is a dense subset of  $j(\mathbb{M})/G$ . Then let  $D^* := \{(p, q) \in \mathbb{P}^* \times \mathbb{Q}^* \mid (\exists (p', q') \in D)(p' \upharpoonright j(\kappa) \setminus \kappa = p, q' \upharpoonright j(\kappa) \setminus \kappa = q)\}$  is a dense subset of  $\mathbb{P}^* \times \mathbb{Q}^*$ .

Let  $(p, q) \in D^* \cap H \times K$ . Let  $(p', q') \in D$  witness that  $(p, q) \in D$ . But then by definition,  $(p', q') \in E$ . □

**Lemma 3.** In  $V[G]$ ,  $\mathbb{Q}^*$  is  $\omega_1$ -closed, and  $\mathbb{P}^*$  is  $\omega_1$ -Knaster.

*Proof.* Suppose that  $\langle q_n \mid n < \omega \rangle$  is a decreasing sequence of conditions in  $\mathbb{Q}^*$ . We define a lower bound  $q$ , by setting  $\text{dom}(q) = \bigcup_n \text{dom}(q_n)$ . For  $\alpha \in \text{dom}(q)$ , let  $k < \omega$  be such that  $\alpha \in \text{dom}(q_k)$ . Then for all  $n \geq k$ ,  $\alpha \in \text{dom}(q_n)$ . Moreover, since for all  $k \geq n_1 < n_2$ , we have that  $1_{\text{Add}(\omega, \alpha)} \Vdash q_{n_2}(\alpha) \leq q_{n_1}(\alpha)$ , we have that  $1_{\text{Add}(\omega, \alpha)} \Vdash \langle q_n(\alpha) \mid n \geq k \rangle$  is a decreasing sequence in  $\text{Add}(\omega_1, 1)$ . Therefore, there is some name  $\sigma$ , such that  $1_{\text{Add}(\omega, \alpha)} \Vdash (\forall n \geq k) \sigma \leq_{\text{Add}(\omega_1, 1)} q_n(\alpha)$ <sup>1</sup>. Set  $q(\alpha) = \sigma$ . Then  $q \leq_{\mathbb{Q}^*} q_n$  for all  $n$ , and so  $\mathbb{Q}^*$  is  $\omega_1$ -closed.

The second part of the lemma follows by a  $\Delta$ -system argument. □

So, we know that  $T$  has an unbounded branch in  $V[G][H][K]$ . Next we will use some branch preservation lemmas to show that forcing with  $\mathbb{P}^* \times \mathbb{Q}^*$  cannot add new branches, and so  $T$  must already have a branch in  $V[G]$ . We use the following lemma. The proof is left as an exercise.

<sup>1</sup>This is due to the fact that if  $p \Vdash (\exists x)\phi(x)$ , then there is a name  $a$ , such that  $p \Vdash \phi(a)$ .

**Lemma 4.** (*The product lemma*) Suppose that  $\mathbb{P}, \mathbb{Q}$  are two posets in a ground model  $V'$ . Suppose that  $H^*$  is  $\mathbb{P} \times \mathbb{Q}$ -generic over  $V'$ . Let  $H = \{p \in \mathbb{P} \mid (\exists q \in \mathbb{Q})(p, q) \in H^*\}$  and  $K = \{p \in \mathbb{Q} \mid (\exists p \in \mathbb{P})(p, q) \in H^*\}$ . Then  $V'[H^*] = V'[H][K] = V'[K][H]$ .

Conversely, if  $H$  is  $\mathbb{P}$ -generic over  $V'$  and  $K$  is  $\mathbb{Q}$ -generic over  $V'[H]$ , then  $H$  is  $\mathbb{P}$ -generic over  $V'[K]$ , and again  $V'[H][K] = V'[K][H]$ .

Then by the product lemma,  $V[G][H][K] = V[G][K][H]$ .

**Proposition 5.**  $T$  has an unbounded branch in  $V[G][K]$ .

*Proof.* In  $V[G][K]$ ,  $T$  is a tree of height  $\omega_1$ . Since  $\mathbb{P}^*$  is  $\omega_1$ -Knaster, it cannot add new branches.  $\square$

**Proposition 6.**  $T$  has an unbounded branch in  $V[G]$ .

*Proof.* In  $V[G]$ ,  $T$  is an  $\aleph_2$ -tree, and  $\mathbb{Q}^*$  is  $\omega_1$ -closed. Moreover,  $2^\omega = \omega_2$ . So, by Silver's theorem  $\mathbb{Q}^*$  cannot have added a new branch.  $\square$

**Corollary 7.** The tree property at  $\aleph_2$  holds in  $V[G]$ .

It turns out that the tree property at  $\aleph_2$  is equiconsistent with the existence of a weak compact cardinal:

**Theorem 8.** (*Silver*) Suppose in  $V$ , the tree property at  $\aleph_2$  holds. Then in  $L$ ,  $\aleph_2^V$  is weakly compact.

Below we summarize further results, motivated by Mitchell's theorem:

- (1) (Abraham) Starting from a supercompact and a weakly compact, one can get the tree property simultaneously at  $\aleph_2$  and  $\aleph_3$ .
- (2) (Cummings and Foreman) Starting from  $\omega$  many supercompacts, one can get the tree property simultaneously at  $\aleph_n$  for all  $2 \leq n < \omega$ .
- (3) (Neeman) Starting from  $\omega$  many supercompacts, one can get the tree property simultaneously at  $\aleph_n$  for all  $2 \leq n < \omega$  and at  $\aleph_{\omega+1}$ .
- (4) (Friedman-Halilovic /Gitik) From some (not too) large cardinals, one can get the tree property at  $\aleph_{\omega+2}$ ,  $\aleph_\omega$  strong limit.

What about combining  $\aleph_{\omega+1}$  and  $\aleph_{\omega+2}$ ? The difficulty is that in order to get the tree property at  $\aleph_{\omega+2}$  when  $\aleph_\omega$  is strong limit, we have to have  $2^{\aleph_\omega} > \aleph_{\omega+1}$ , i.e. the negation of the *singular cardinal hypothesis* at  $\aleph_\omega$ . And constructions that do that tend to be fairly complicated. The following remains open:

- (1) Is it consistent to have the tree property at  $\aleph_{\omega+1}$  together with not SCH at  $\aleph_{\omega+1}$ ? (For  $\aleph_{\omega+2}$  the answer is yes.)
- (2) Is it consistent to have the tree property simultaneously at  $\kappa^+$  and  $\kappa^{++}$  when  $\kappa$  is strong limit singular?
- (3) Is it consistent to have the tree property simultaneously at  $\aleph_{\omega+1}$  and  $\aleph_{\omega+2}$  when  $\aleph_\omega$  is strong limit?